

YAMAP0804US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Towler et al.

Express Mail: EF232844281US

Filed: March 1, 2002

Art Unit:

Examiner:

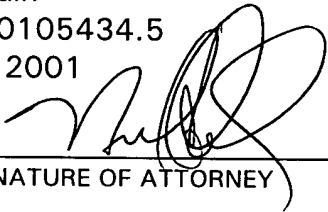
For: NEMATIC LIQUID CRYSTAL DEVICES, AND METHODS OF PRODUCTION  
THEREOF

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Attached please find the certified copy of the foreign application from which  
priority is claimed for this case:

Country: Great Britain  
Application Number: 0105434.5  
Filing Date: March 6, 2001

  
\_\_\_\_\_  
SIGNATURE OF ATTORNEY

Reg. No. 26,725

Neil A. DuChez

Tel. No. (216) 621-1113

**RENNER, OTTO, BOISSELLE & SKLAR, P.L.L.**  
1621 Euclid Avenue  
Nineteenth Floor  
Cleveland, Ohio 44115

#4  
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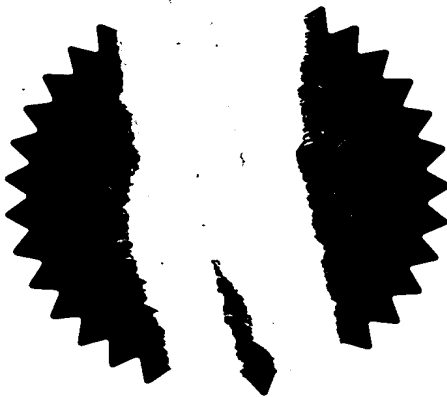
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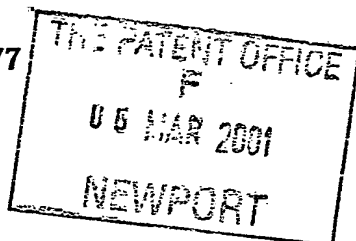
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2. Patent application number  
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0105434.5

06 MAR 2001

3. Full name, address and postcode of the or of each applicant (underline all surnames)

Sharp Kabushiki Kaisha  
22-22 Nagaike-cho  
Abeno-ku, Osaka 545  
Japan

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

Japan

6669113001

4. Title of the invention

Nematic Liquid Crystal Device, and methods of production thereof

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Marks & Clerk  
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Oxford  
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Country

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7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

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Yes

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  - b) there is an inventor who is not named as an applicant, or
  - c) any named applicant is a corporate body.
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Description

10

Claim(s)

3

Abstract

1

Drawing(s)

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Priority documents

No

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Statement of inventorship and right to grant of a patent (Patents Form 7/77)

1

Request for preliminary examination and search (Patents Form 9/77)

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Request for substantive examination (Patents Form 10/77)

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Any other documents (please specify)

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I/We request the grant of a patent on the basis of this application.

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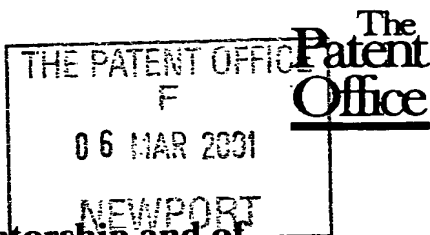
12. Name and daytime telephone number of person to contact in the United Kingdom  
Julian Asquith - 01865 397900

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**Statement of inventorship and of  
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Cardiff Road  
Newport  
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1. Your reference JPA.P51385GB

2. Patent application number  
(if you know it)

**0105434.5**

06 MAR 2001

3. Full name of the or of each applicant

Sharp Kabushiki Kaisha  
22-22 Nagaike-cho  
Abeno-ku, Osaka 545, Japan

4. Title of the invention

Nematic Liquid Crystal Device, and methods of production thereof

5. State how the applicant(s) derived the right  
from the inventor(s) to be granted a patent

By virtue of employment of the inventors by  
Sharp Laboratories of Europe Ltd., (SLE) and  
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Michael John Towler 20 The Garth Botley Oxford OX2 9AL
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# **"Nematic Liquid Crystal Devices, and methods of production thereof"**

The invention relates to nematic liquid crystal devices formed with anisotropic protrusions, and methods of production thereof.

The invention can be used to provide an improvement in obtaining and if necessary isolating the operating state of a pi-cell liquid crystal device at low voltages. The invention can also be applied to nucleating the operating states from the globally stable states in the BTN device, and for isolating the operating states from each other and from the globally stable state.

The invention is particularly applicable to flat panel displays and pi-cells used in LCTV. Another application is in using BTN displays in mobile products.

P.D. Berezin, L.M. Blinov, I.N. Kompanets and V.V. Nikitin 'Electro-optic Switching in Oriented Liquid-Crystal Films' July-August 1973 *Sov. J. Quant. Electron* Vol. 3, pp. 78-79 is a paper which describes the achievement of fast nematic liquid crystal response times obtained in low surface tilt, non-twisted cells. It is unclear from the paper whether the alignment directions at the two surfaces are parallel or anti-parallel. The fast switching times were achieved by applying a bias voltage such that the optical modulation is mostly caused by reorientation of the liquid crystal molecules near the surface region, whilst those in the bulk remained substantially homeotropic. (See for example lines 11-19, column 1, page 79, together with lines 14-17, column 2, page 78)

P.J. Bos and K.R. Koehler/Beran 'The pi-Cell: A Fast Liquid Crystal Optical Switching Device' 1984 *Mol. Cryst. Liq. Cryst.* Vol. 113, pp. 329-339 is a paper which introduces the pi-cell as a liquid crystal display mode that is fundamentally fast, since the symmetry of the device eliminates the adverse effects of a flow induced "backwards" torque exerted on the liquid crystal director towards the cell centre. The pi-cell has parallel surface tilt (the term "parallel" here referring to the rubbing directions at the two cell surfaces, rather than to the directors) such that at low voltages the splay (or H-) state is stable (see Figure 3b, page 332). At higher voltages switching takes place between a high and low voltage bend (or V-) state (see Figure 2b, page 331).

US 4,566,756 describes a pi-cell doped for  $d/p > 0.25$ . As a result of the doping this device does not form the H-state at low voltages and remains in the T-state, not the V-state at high voltages. However at high voltages the T-state has similar optical properties to that of the V-state. This mode then overcomes the nucleation problems of the conventional pi-cell and demonstrates similar optical characteristics at high voltages. Unfortunately at lower voltages the effect of the inherent twist on the optical characteristic gives a poorer performance than the conventional pi-cell.

EP 0,996,028 describes the generic use of nucleation regions to initiate the growth of the V-state from the H-state. Particular examples are given of the pi-cell device and the complementary negative pi-cell or SBD. As well as teaching patterned surface pre-tilt to generate these nucleation regions, it also teaches in-situ polymerisation of a nucleation region e.g. in the inter-pixel gaps. One technique described for patterning surface tilt is to use patterned reactive mesogen layers. These are however thin and do not protrude considerably into the liquid crystal.

EP 0,965,876A2 describes the use of substantially homeotropic alignment to surround the active pixel area within a conventionally low surface pretilt pi-cell based display. At zero volts the surrounding substantially homeotropic alignment isolates the pixel from the reformation of the H-state; at zero volts then the pixel relaxes into the twist state (T-state) (see abstract column 2, page 3, lines 39-44 and claim 1). The device is operated at higher voltages in the V-state.

Japanese Patent Application JP-A-9 90432 (Toshiba) discloses the provision of nucleation sites within a pi-cell panel. The nucleation sites are provided by including spacer balls or pillars within the pi-cell panel and cooling the liquid crystal material from an isotropic phase to a nematic phase while an electric field is applied across the panel. This results in some of the spacer balls or pillars acting as nucleation sites for growth of the V-state. However the positioning of the spacers cannot be easily controlled.

Japanese Patent Application JP-A-9 218411 (Sekisui) discloses a LCD having a bend alignment state, which is stabilised, in the absence of an applied field, by the presence of spacers in the form of spherical particles. The spacers have a surface energy such that liquid crystal molecules adjacent the alignment layers are mainly aligned parallel to the alignment layers. However, in order for this technique to work, a field has to be applied during the initial alignment of the device. Also, the particles can not be positioned so as to be outside the pixel apertures so that the contrast ratio of the display is reduced by the presence of the particles.

European Patent Application No. 00310110.2 describes the use of birefringent spacers (for example those made from polymerisable reactive mesogens) in a liquid crystal display, said spacers having the same optical characteristic as one of the states of the switched states of the liquid crystal display.

DE 2,849,402A1 describes a display with both variable and invariable parts. The variable parts are liquid crystal, whilst the invariable parts are fabricated from a birefringent material running between the top and bottom surfaces. These invariable parts act also as spacers.

British Patent Application No. 9704623.9 describes a liquid crystal device in which at least one alignment layer has been fabricated from a mixture of mono-functional and di-functional reactive mesogens, the ratio of these materials acting to control the surface pre-tilt of the contiguous liquid crystal material. It teaches that as the percentage of mono-functional reactive mesogen is increased then the tilt at the RM/air interface is increased.

US 5,995,184 describes the fabrication of thin film retardation plates from polymerisable reactive mesogens. It teaches the addition of an additive that migrates to the RM/air interface to modify the surface energy and hence control the tilt of the reactive mesogen molecules at that surface, in particular it teaches reducing the tilt angle at the RM/air interface.

EP 0,880,052 describes the fabrication of multi-domain liquid crystal devices by using a patterned, thin, twisted reactive mesogen layer above a conventional alignment layer. Although Figure 5 of the British Application (9710481.4) shows parallel alignment in the none reactive mesogen region and twisted alignment above the reactive mesogen region, the regions above the reactive mesogen are not used for nucleating an operating state from a zero voltage non-operating state. This is additionally supported by the regions above the RM being of the same area as regions not above the RM, and that the application explicitly teaches to make the RM layer as thin as possible.

EP 0,018,180 and Journal of Applied Physics Vol. 52, No. 4, p.3032 (1981) disclose the basic operating principle of the bistable twisted nematic (BTN) device i.e. switching between two metastable states of twist angle  $\phi \pm 180^\circ$ , a state of angle  $\phi^\circ$  being the globally stable state not being used. These also disclose the use of isolation by the reduction of cell thickness in the unaddressed regions. A BTN device is a slower device than a pi-cell, but is stable in the two states of  $\phi \pm 180^\circ$ , hence the name bistable. A typical BTN device is referred to as having antiparallel alignment because the rubbing directions at the two liquid crystal surfaces are antiparallel. This actually results in the directors at the two surfaces of the liquid crystal being aligned parallel to each other.

British Patent Application No. 9911730.1 describes the fabrication of BTN devices with an isolation region between neighbouring pixels, the isolation region is a HAN state, a uniform lying helix or a focal conic texture. It is formed through patterning the surface pretilt of the alignment layer, such that the pretilt of interpixel gaps is different from that of the intrapixel region.

The antiparallel BTN has three possible stable twist states e.g.  $0^\circ$ ,  $180^\circ$  and  $360^\circ$ , more generally:  $(\phi - \pi)$ ,  $\phi$  and  $(\phi + \pi)$  twist states. With suitable addressing, two of these states can be accessed and used as operating states. The energy of the three stable twist states depends on the thickness-to-pitch ( $d/p$ ) ration and differs, such that the lowest energy state, which is normally topologically distinct to the other two stable

twist states, does not correspond to an operating state, e.g.  $\phi$ . This results in the energetically favourable (but undesirable) state nucleating and growing over a period of time once the voltage is turned off. Even if this undesired state does not nucleate when the voltage is turned off, bistability is not possible without isolation because the more energetically favourable of the operating states will slowly grow into the other one.

According to the invention there is provided a liquid crystal device, and method of production thereof, as set out in the accompanying claims.

The invention makes use of surface protrusions made from anisotropic materials (in particular from polymerisable reactive mesogens) to nucleate and/or isolate an operating state of a liquid crystal device from a non-operating state.

The invention is particularly (though not exclusively) applicable to nematic devices in which the operating state is topologically distinct from the stable state at zero field applied. One such device is the pi-cell in which the operating V-state must be nucleated from the low voltage H-state. Another such device is the BTN device which when used as a storage device requires isolation of the operating states from the global minimum state and from each other.

Techniques for the nucleation of the operating V-state from the ground H-state are given in prior art documents EP 0,996,028; EP 0,965,876; JP-A-990432; and JP-A-9218411 mentioned above. EP 0,996,028 and EP 0,965,876 particularly teach modifying surface pretilt to obtain low voltage nucleation. However patterning just at an alignment layer can produce occasional failure (and hence reduced yield) due to disclination trapping at the surface. Methods described in JP-A-990432 and JP-A-9218411 do not have these problems, but for each to work effectively the device is ideally cooled from the isotropic phase under an applied field (to provide the correct anisotropic structure around the spacer ball or pillar); this proves to be a difficult industrial process. The alternative that is disclosed in EP 0,996,028 of in situ polymerisation under an applied field has the same industrial difficulty. This invention offers a solution by providing a preformed anisotropic protrusion.

Those skilled in the art will appreciate the additional application of this technique to isolation in the BTN device.

Embodiments of the invention will now be described, by way of example only, with reference to the accompanying drawings, in which:

Figure 1 is a schematic showing the operation of a pi-cell;

Figure 2 is a schematic showing the operation of a BTN device;

Figures 3a to 3d are schematics of the fabrication technique of embodiments to the invention;

Figures 4a to 4c illustrate an explanation of an embodiment of the invention;

Figures 5a to 5c illustrate an explanation of another embodiment of the invention.

Figure 1 is a schematic showing the orientation of directors 2 between substrates 4 and 6 in different states in the operation of a pi-cell, as described in the prior art documents mentioned above.

Figure 2 is a schematic showing the orientation of directors 8 between substrates 10 and 12 in different states in the operation of a BTN device, as described in the prior art mentioned above.

A first embodiment of the invention uses twisted anisotropic protrusions to nucleate V-state from H-state.

Referring to Figure 3a, two indium tin oxide glass coated substrates 30 and 42 were coated, as known to those skilled in the art, with alignment layers 32 and 33

formed from SE610 (Nissan chemicals). Each substrate 30, 42 was then unidirectionally rubbed again as known to those skilled in the art. Referring to Figure 3b, on one of these substrates 30 a mixture of 1:3 reactive mesogen RM257 (Merck) to toluene was spun at 1200rpm (at 80°C), the RM257 being previously doped with 1.2% CB15 (Merck) to form a protrusion layer 34. Again at 80°C the RM257/CB15 protrusion layer 34 was UV cured through a mask 36 by UV radiation 38, and then rinsed in toluene to leave one substrate 30 coated with about 2.5 micron high twisted anisotropic protrusions 40, as shown in Figure 3c. This substrate 30 was then fabricated into a 5 micron thick pi-cell using the other substrate 42, as shown in Figure 3d. On application of 1.8 volts and greater the V-state was seen to grow out from the areas of anisotropic protrusion to cover the remainder of the display.

We found that for this cell thickness and protrusion height that nucleation was successful when between about 1% and 3% of CB15 was mixed with the RM257.

Figures 4a to 4c offer a possible explanation of how nucleation of the V-state proceeds, for understanding only. Figure 4a shows the assumed cell cross-section at zero volts. The majority of the cell is in the H-state. However near the anisotropic protrusion 40 the liquid crystal is held in the twisted state, the state within the protrusion 40 being fixed. At about 1.8V or greater the twist state near to the anisotropic protrusion 40 develops into the V-state as shown in Figure 4b, and then grows to cover the rest of the cell area as shown in Figure 4c.

A second embodiment of the invention uses tilted anisotropic protrusions to nucleate V-state from H-state

Referring again to Figures 3a to 3d, and using the same reference numerals for simplicity, two indium tin oxide glass coated substrates 30 and 42 were coated, as known to those skilled in the art, with alignment layers 32 and 33 formed from SE610 (Nissan chemicals). Each substrate 30, 42 was then unidirectionally rubbed again as known to those skilled in the art (Figure 3a). On one of these substrates 30 a mixture of 4:1:15 diacrylate RM257 (Merck) to monoacrylate RM308 (Merck) to toluene was spun

at 1200rpm (at 80°C) to form a protrusion layer 34. Again at 80°C the RM257/RM308 protrusion layer 34 was UV cured through a mask (Figure 3b) and then rinsed in toluene to leave one substrate 30 coated with about 2.5 micron high tilted anisotropic protrusions 40 (Figure 3c). This substrate 30 was then fabricated into a 5-micron thick pi-cell using the other substrate 42 as shown in Figure 3d. On application of 1.8 volts and greater the V-state was seen to grow out from the areas of anisotropic protrusion to cover the remainder of the display.

Figures 5a to 5c offer a potential explanation at how nucleation of the V-state proceeds, for understanding only. Figure 5a shows the assumed cell-cross section at zero volts. The majority of the cell is in the H-state. However near the anisotropic protrusion 40 the liquid crystal is held in the V or T state. At about 1.8V and greater the V state near to the anisotropic protrusion 40 begins to grow (Figure 5b) and then grows to cover the rest of the cell area (Figure 5c). In practice the fabricated anisotropic protrusions 40 do not have a rectangular cross-section but a more trapezoidal, triangular or mitre-shaped cross-section depending upon exact processing conditions.

Those skilled in the art will appreciate that an anisotropic protrusion fabricated with a high tilt at the alignment interface and a low tilt at the reactive mesogen / air interface (i.e. the eventual reactive mesogen / LC interface) could be used to nucleate the H-state from the V-state. This could be accomplished using the teaching of US 5,995,184 mentioned above.

A third embodiment of the invention uses twisted and tilted anisotropic protrusions to nucleate V-state from H-state. This is the same as the second embodiment with the addition of a small percentage of chiral dopant into the di-functional/mono-functional RM mixture.

A fourth embodiment of the invention uses tilted anisotropic protrusions for isolation of states in a BTN Device. We have found that tilted anisotropic protrusions also act as isolation regions in BTN devices. In this case each pixel of a display panel is fully surrounded by such a protrusion



A fifth embodiment of the invention uses twisted anisotropic protrusions for isolation of states in a BTN Device. We have found that twisted anisotropic protrusions also act as isolation regions in BTN devices. In this case each pixel of a display panel is fully surrounded by such a protrusion.

A sixth embodiment of the invention uses tilted and twisted anisotropic protrusions for isolation of states in a BTN Device. We have found that tilted and twisted anisotropic protrusions also act as isolation regions in BTN devices. In this case each pixel of a display panel is fully surrounded by such a protrusion.

The positioning of the protrusions depends on whether the protrusions are intended to nucleate, to isolate or both, as described below.

For nucleation, the protrusion need not surround a pixel. However it is preferably present in each pixel to guarantee nucleation within each pixel. Also, each protrusion should partially overlap with the active region of the pixel, or be located adjacent or close to the active region, in order for the applied field to be able to grow the desired state into the active region. For nucleation, the protrusion must be close enough to the active region to experience sufficient influence from any applied field. If aperture ratio and contrast ratios are not paramount the protrusions could be located totally within the active region.

For isolation, the protrusion needs to surround each pixel in order to prevent the undesired state growing into it. However, the protrusion need not be within the active region (it could be located in the inter-pixel gap) as isolation does not require a field and hence maximise the aperture ratio and contrast.

For nucleation and isolation, the protrusion should both surround each pixel (for isolation) and overlap partially with, or lie adjacent or close to the active area to allow the field to grow the desired state.

A black mask may be used to mask off the protrusion.

Both isolation and nucleation can be applied to the pi-cell, SBD, BTN, reverse twist TN and other such devices.

It will be appreciated that, in any embodiment, not every protrusion is required to have the same characteristics, or to be involved in nucleation or isolation.

**CLAIMS:**

1. A liquid crystal device comprising a nematic liquid crystal, voltage means for applying a voltage across said liquid crystal, and two substrates each provided with an alignment layer, wherein:

said liquid crystal is sandwiched between said two substrates;

said nematic liquid crystal can be placed in at least one operating state and at least one non-operating state, and

at least one of said alignment layers is provided with a plurality of surface protrusions formed from an anisotropic material.

2. A liquid crystal device as claimed in claim 1 wherein at least some of said protrusions nucleate said liquid crystal into said operating state from said non-operating state when said voltage exceeds a threshold value.

3. A liquid crystal device as claimed in claim 1 or 2, wherein at least some of said protrusions isolate said operating state from said non-operating state or from another operating state.

4. A liquid crystal device as claimed in any preceding claim, wherein said liquid crystal is divided into a plurality of pixels each having an active region, and wherein the active region of each said pixel contains, or overlaps with, or lies adjacent or close to, at least one of said protrusions, so that nucleation occurs within said active region.

5. A liquid crystal device as claimed in any preceding claim, wherein said liquid crystal is divided into a plurality of pixels, and wherein each said pixel is surrounded by at least one of said protrusions, so that the pixel is isolated.

6. A liquid crystal device as claimed in any preceding claim, wherein said nematic liquid crystal is a pi-cell.

7. A liquid crystal device as claimed in any one of claims 1 to 5, wherein said nematic liquid crystal is a negative pi-cell or splay bend device (SBD).

8. A liquid crystal device as claimed in any one of claims 1 to 5, wherein said nematic liquid crystal is a bistable twisted nematic (BTN).
9. A liquid crystal device as claimed in any preceding claim, wherein at least some of said protrusions are twisted anisotropic protrusions.
10. A liquid crystal device as claimed in any preceding claim, wherein at least some of said protrusions are tilted anisotropic protrusions.
11. A liquid crystal device as claimed in any preceding claim, wherein at least some of said protrusions are tilted and twisted anisotropic protrusions.
12. A liquid crystal device as claimed in any preceding claim, wherein said anisotropic protrusions are formed from a polymerisable reactive mesogen.
13. A liquid crystal device as claimed in any preceding claim, wherein said operating and non-operating states are topologically distinct from each other.
14. A liquid crystal device as claimed in any preceding claim, wherein when said voltage is substantially zero different regions of said liquid crystal exist in first and second non-operating states, and the first non-operating state is stabilised by said anisotropic protrusions.
15. A liquid crystal device as claimed in claim 14, wherein said first and second non-operating states are T and H states respectively.
16. A liquid crystal device as claimed in claim 14 or 15, wherein said first non-operating state is the same state as said operating state.
17. A method of producing a liquid crystal device as claimed in any preceding claim, said method comprising the steps of forming a reactive mesogen layer on one of

said substrates, curing said layer by irradiating said layer through a mask to leave said one of said substrates coated with anisotropic protrusions, and forming a liquid crystal cell by sandwiching nematic liquid crystal material between said two substrates.

18. A method as claimed in claim 17, wherein said reactive mesogen layer is formed from RM257 doped with CB15.

19. A method as claimed in claim 17, wherein said reactive mesogen layer is formed from RM257 mixed with RM308.

20. A method as claimed in claim 17, wherein said reactive mesogen layer is formed from RM257 mixed with RM308 and doped with CB15.

**ABSTRACT**

**"Nematic Liquid Crystal Devices, and methods of production thereof"**

A liquid crystal device comprises a nematic liquid crystal, voltage means for applying a voltage across said liquid crystal, and two substrates (42, 30) each provided with an alignment layer (32, 33), wherein:

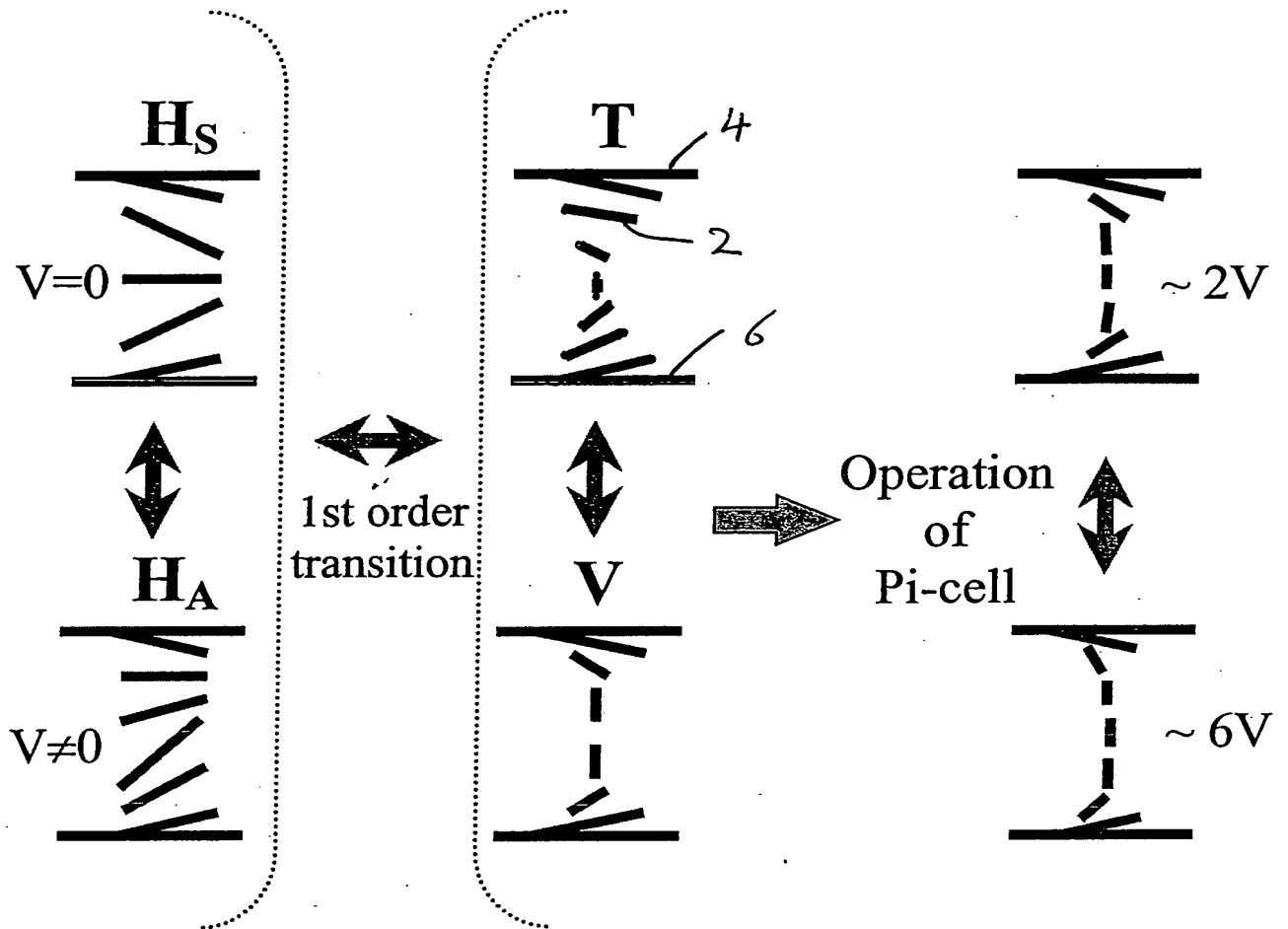
said liquid crystal is sandwiched between said two substrates (42, 30);

said nematic liquid crystal can be placed in at least one operating state and at least one non-operating state, and

at least one of said alignment layers (32, 33) is provided with a plurality of surface protrusions (40) formed from an anisotropic material.

(Figure 4a)

Figure 1.

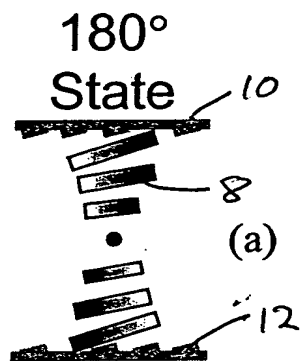


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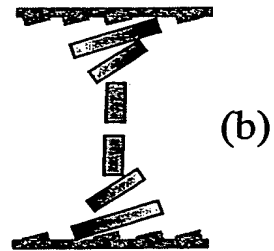


Figure 2.

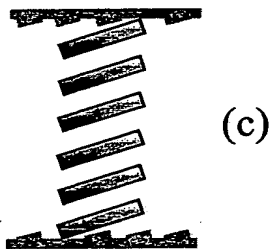
Initial  
stable state



High voltage  
state



0°  
State



360°  
State



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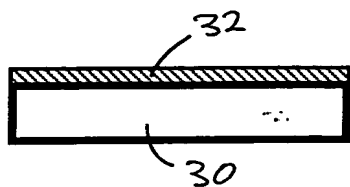


Fig. 3a

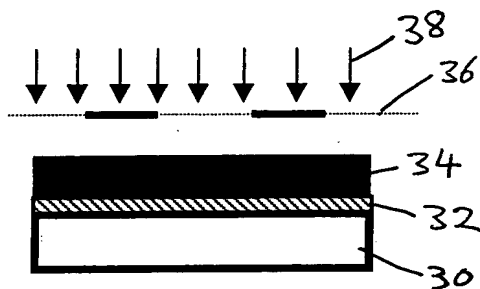


Fig. 3b



Fig. 3c

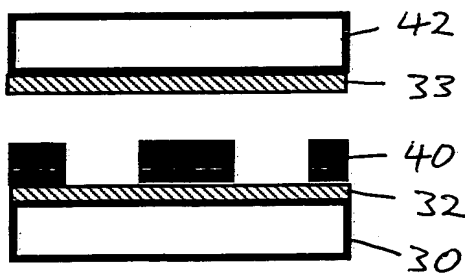
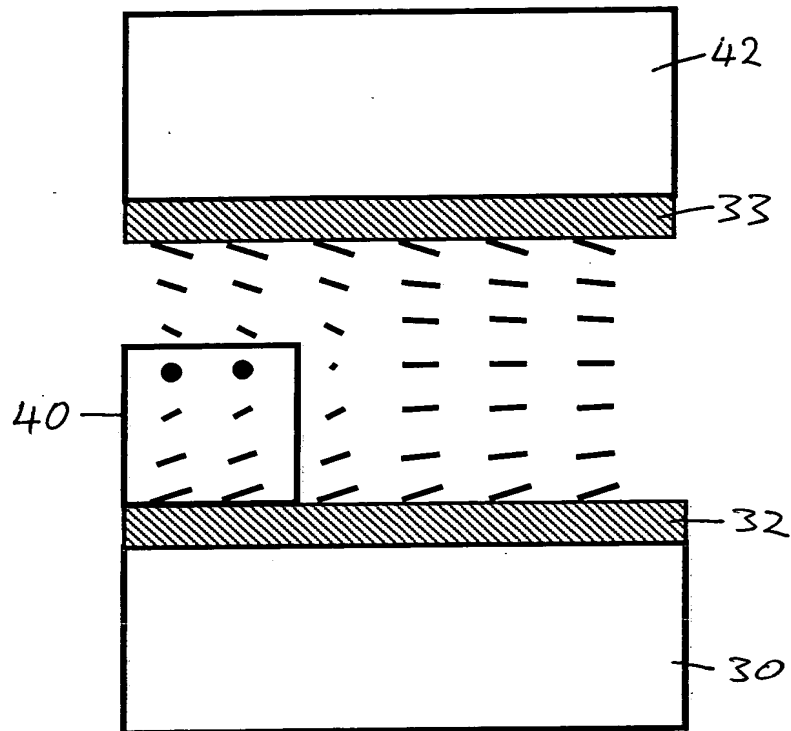


Fig. 3d

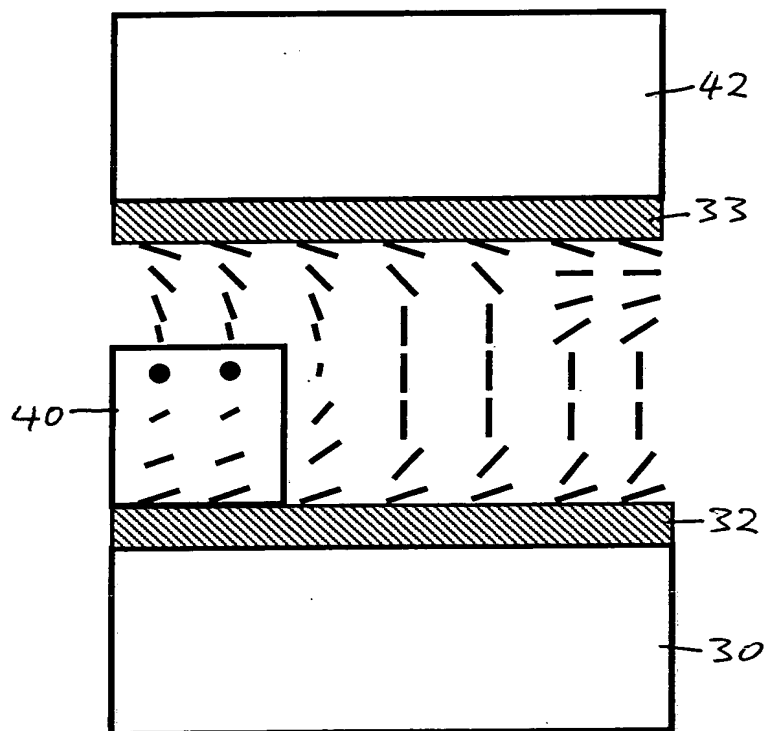
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Figure 4a. Pi-cell no field applied.



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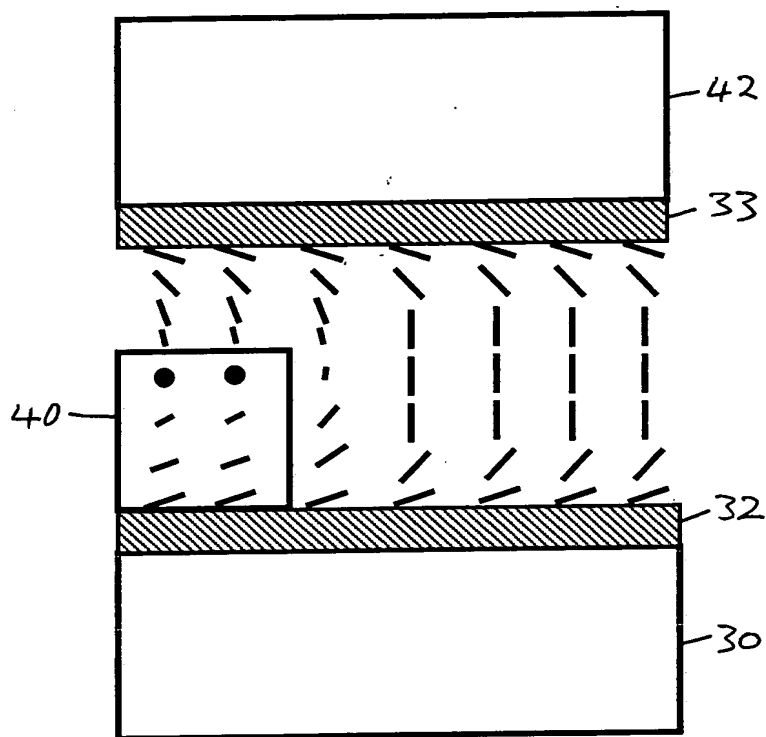
Figure 4b. Pi-cell with field applied. Bend state grows into H state region.



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Figure 4c.



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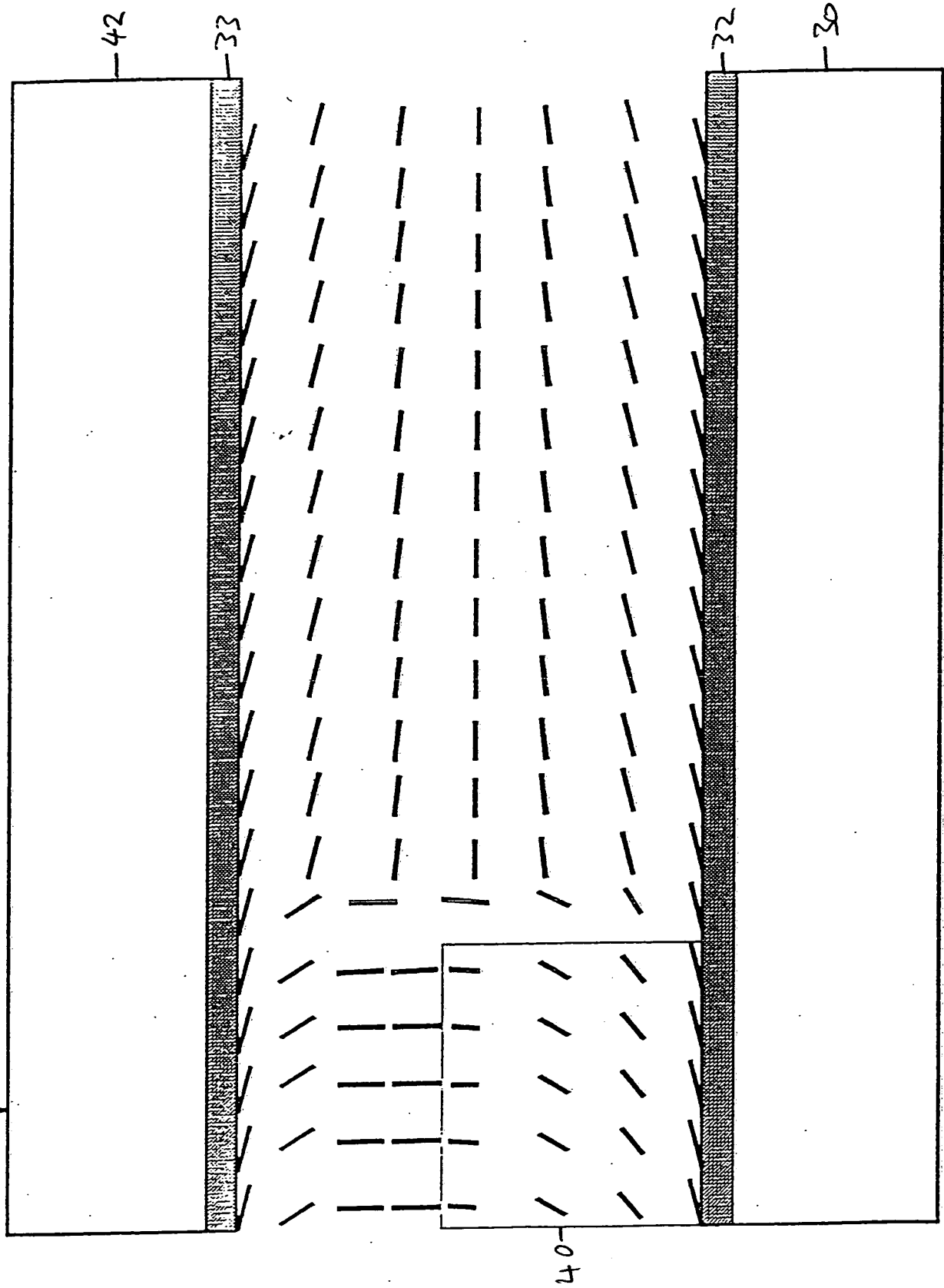


Figure 5a

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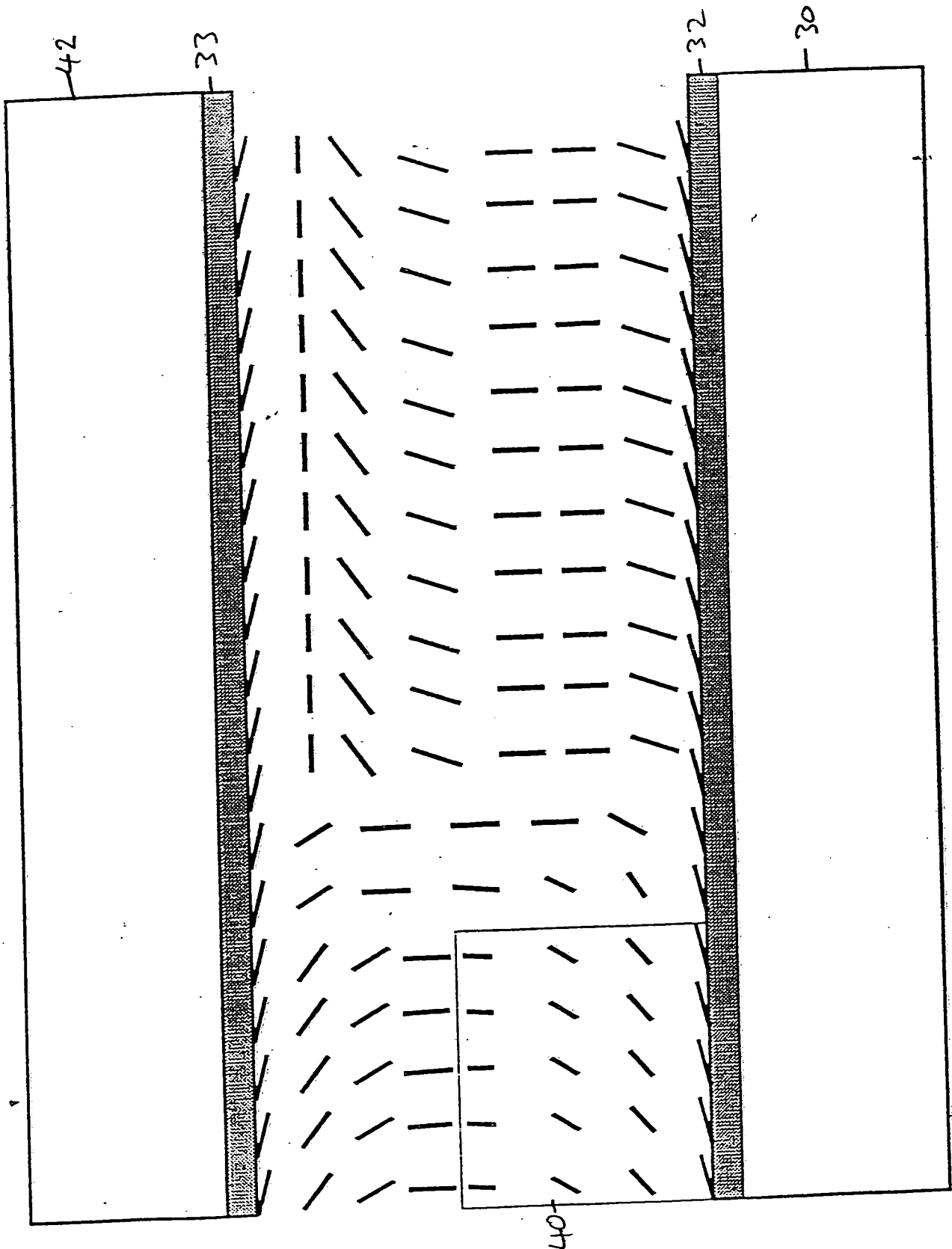


Figure 5b

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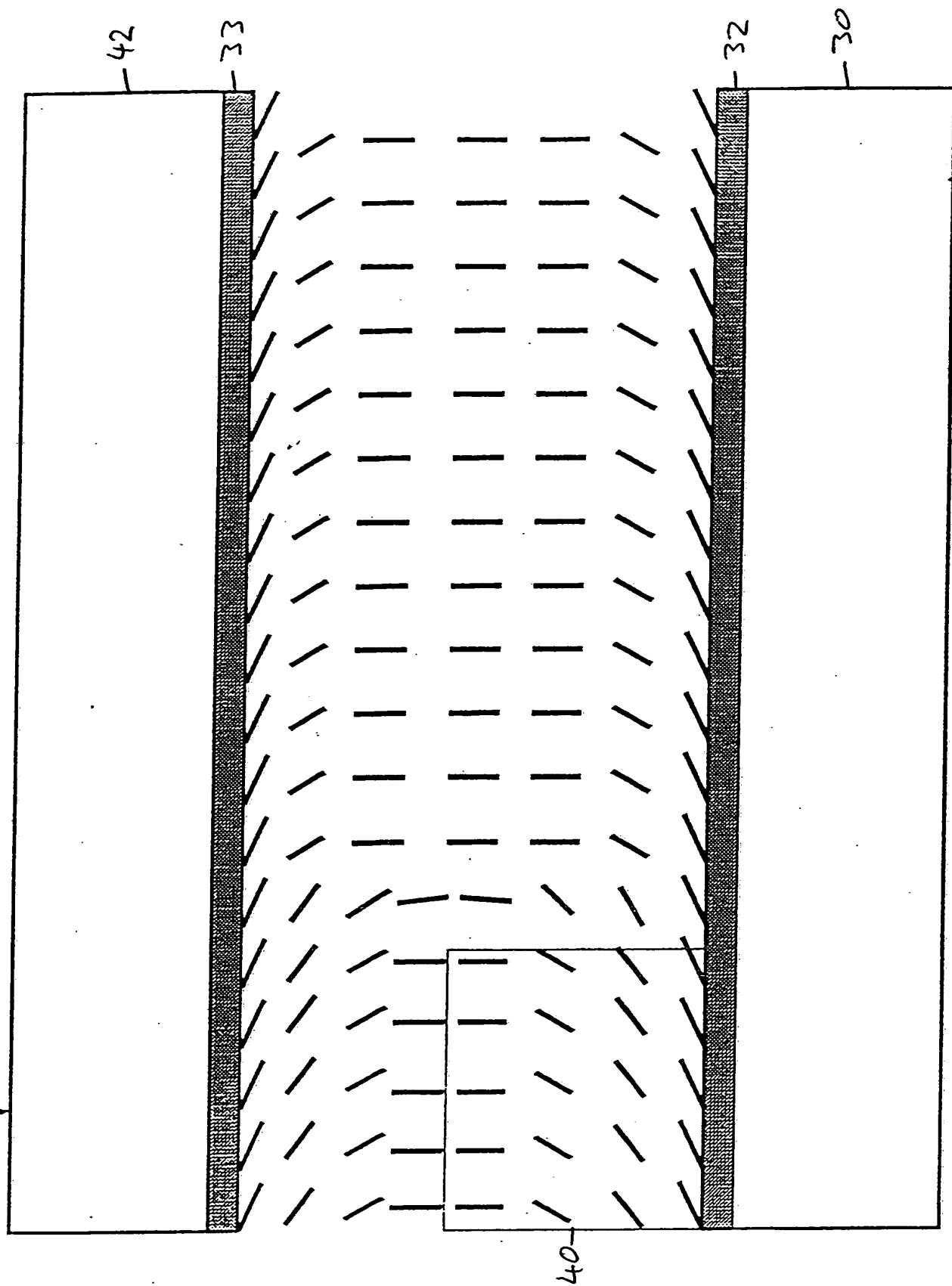


Figure 5C.

America

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